

# The Journey to Superior Outcomes Starts at the Ocular Surface

CRST interviewed Christopher E. Starr, MD, FACS, in March 2020.

**M**ultiple algorithms for symptomatic dry eye disease (DED) exist. However, a survey of the American Society of Cataract and Refractive Surgery (ASCRS) membership revealed what was already known: most surgeons weren't treating DED prior to surgery because it seemed too complex and time-intensive to easily integrate into their current preoperative practice routines.

In 2019, the ASCRS Cornea Clinical Committee (CCC) published a new consensus-based algorithm for the management of Ocular Surface Disease (OSD) in the preoperative setting. Christopher E. Starr, MD, FACS, said, "We set out to create an algorithm that could be followed by technicians and office staff, and therefore be integrated into the preoperative surgical visit in a quick and seamless way."

When performing highly precise refractive procedures that involve notable out-of-pocket costs to patients, excellent visual outcomes are universally expected. Identifying and treating Visually Significant OSD (VS-OSD) prior to cataract or refractive surgery can make the difference between satisfied or dissatisfied patients. Dr. Starr said, "Patients have increasingly higher expectations, particularly those electing a premium cataract or refractive procedure."

Point-of-care tests (osmolarity and tear inflammation) are in-office laboratory tests and modernize a DED/OSD practice for both surgical and nonsurgical patients. These tests provide immediate, objective data that are applied toward an ocular surface health assessment, enabling the clinician to better determine which subset of OSD is present.

## SIGNIFICANT UNDER-DIAGNOSIS OF OSD

The majority of patients in the cataract population have some form of OSD, yet it often remains underdiagnosed and undertreated.<sup>1,2</sup> Multiple studies have demonstrated that the prevalence in asymptomatic cataract surgery candidates is higher than previously thought. One study<sup>2</sup> found that over 60% of routine cataract patients were asymptomatic, however, half of these patients had central corneal staining. In another study,<sup>1</sup> the incidence of OSD in patients presenting for cataract surgery was over 80%, and more than half of the asymptomatic patients had an abnormal tear osmolarity or matrix metalloproteinase-9 (MMP-9) level. Dr. Starr stated, "We know that the prevalence is extraordinarily high, but patients are often asymptomatic. Older patients don't necessarily complain about symptoms associated with OSD."

## ASSESS ALL SURGICAL PATIENTS FOR OSD

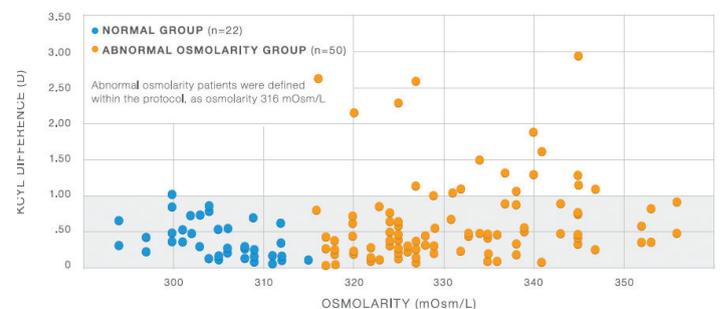
The most common postsurgical complaint is related to OSD.<sup>1</sup> Due to the potential adverse impact of OSD on surgical outcomes and patient satisfaction—and given that a large proportion of cataract patients are asymptomatic—the ASCRS CCC has recommended that all preoperative refractive patients undergo a comprehensive ocular surface examination.

### Consequences of performing surgery on a patient with Visually Significant OSD

- IOL calculation errors and refractive surprises
- Unsatisfactory postsurgical visual outcomes
- New or worsened OSD signs and symptoms<sup>3</sup>
- Postoperative infection (if OSD is bacterial)

## IMPACT OF TEAR FILM INSTABILITY

The tear film is the most anterior surface of the eye, thus approximately 70% of the total refractive power of the eye occurs at the tear film.<sup>4</sup> An unstable tear film reduces the accuracy of preoperative measurements which can lead to refractive misses, suboptimal visual performance, exacerbated or new OSD signs and symptoms, and potentially postoperative infection.<sup>5</sup>



**17%** of eyes with abnormal osmolarity had >1 D (diopter) of difference in keratometry cylinder values between two pre-surgical visits.

**10%** of eyes with abnormal osmolarity had >0.5 D (diopter) of change in calculated IOL power (based on average K).

Figure 1. Abnormal osmolarity can affect presurgical keratometry measurements.<sup>6</sup>



## ASCRS PREOPERATIVE OSD ALGORITHM

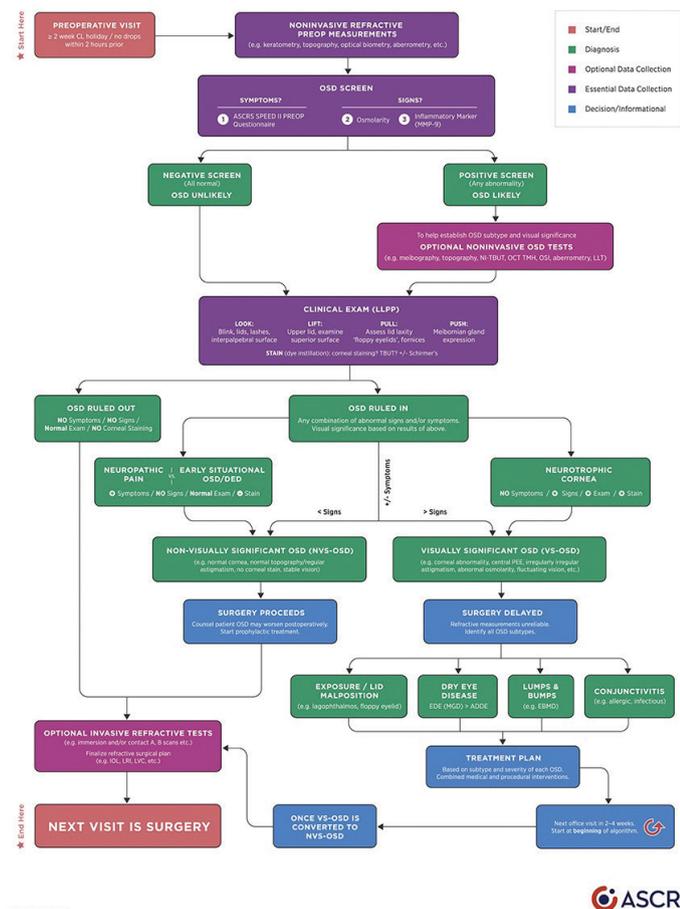


Figure 3. ASCRS Preoperative OSD Algorithm.

Technician-driven tests streamline the process and reduce the burden on the physician. Before the doctor is ever involved with the patient, validated data is available to provide immediate insights into a patient’s ocular health.

### WHY TEST OSMOLARITY?

Osmolarity testing is an essential preoperative diagnostic test that is important in detecting the presence, or absence, of DED.<sup>3</sup> Osmolarity testing evaluates the tear film using an objective and physiologic marker rather than relying on subjective signs, such as staining or tear breakup time. Importantly, osmolarity allows for the early detection of DED as changes in osmolarity may precede other clinical signs.<sup>7</sup>

Hyperosmolarity is the mechanism of DED that triggers inflammatory events at the ocular surface<sup>8</sup> causing an increase in corneal epithelial cell death, loss of goblet cells, and disturbance of mucin expression, all of which may undermine surgical outcomes. Hyperosmolarity increases EMMPRIN expression, which is associated with an increase in MMP-9 and a loss of epithelial cells<sup>9</sup>, and causes loss of microplacae on

the corneal epithelium. In all types of DED, hyperosmolarity is present.<sup>10,11</sup>

Modern technology brings osmolarity testing to the point of care. The TearLab Osmolarity System (TearLab Corp.) is an FDA-approved device proven to deliver precise and predictive measurements of DED (Figure 4).<sup>12</sup> A test card is placed in the lower lateral tear meniscus to collect a 50 nL tear sample (the size of a pin tip). Results are available in seconds. Repeated osmolarity testing of patients undergoing therapy is also a reliable indicator of whether DED has improved enough to proceed to surgery.

### NORMAL VERSUS ABNORMAL OSMOLARITY

“We certainly need to know the osmolarity numbers,” Dr. Starr said. “They tell you if dry eye is present and how severe it is. The higher the number, the more severe the DED,” said Dr. Starr. Normal subjects have tears in proper homeostasis and exhibit low and stable osmolarity ranging from about 280-295 mOsm/L. Dry eye patients exhibit elevated and unstable osmolarity. An osmolarity value of 308 mOsm/L or greater indicates normal and mild to moderate DED.<sup>13</sup> A mean osmolarity of 315 mOsm/L indicates mild to moderate DED, and severe DED has a mean value of 336 mOsm/L.

Dry eye disease is characterized by a loss of homeostasis including variability and inter-eye differences. A bilateral and often asymmetrical disease, an inter-eye difference of more than 8 mOsm/L is indicative of tear film instability.<sup>14</sup>

Normal osmolarity in symptomatic eyes may also be an indicator of other underlying conditions, including partially treated DED, conjunctival chalasis, CL and/or solution irritation, mild allergic conjunctivitis, epithelial basement membrane dystrophy, pinguecula/early pterygia infection, anterior blepharitis, or demodex.<sup>15</sup>



Figure 4. The TearLab Osmolarity System.

## WHY TEST MMP-9?

MMP-9 is an enzyme that is released during an inflammatory response and plays a role in breaking down the intercellular matrix of epithelial cells. Elevated levels of MMP-9 have been found in patients with different types of OSD, including Sjögren syndrome and MGD.<sup>16</sup> Inflammatory mediators released into the tears cause tear film instability, and an unstable tear film will cause image fluctuations that can impair vision for one or more lines on the eye chart.

## CRITICAL DIAGNOSIS: VS-OSD VERSUS NON-VISUALLY SIGNIFICANT OSD

OSD is not an absolute contraindication of surgery since many in the cataract population will have it. According to Dr. Starr, the committee fine-tuned the algorithm to “account for all the various subtypes and severities of OSD.”

With this in mind, the ASCRS algorithm introduced new terminology for classifying any OSD into two important presurgical categories: Non-VS-OSD (NVS-OSD) and VS-OSD. The clinician must determine the category of OSD after the clinical examination.

VS-OSD	NVS-OSD
Can impact preoperative measurements and postsurgical outcomes	Does not impact preoperative measurements or postsurgical refractive outcomes
Surgery delayed 2-4 weeks	Surgery proceeds
Requires intensive, rapid OSD treatment	Prophylactic treatment

## NVS-OSD

NVS-OSD could include diagnoses such as early, preclinical, or situational DED; mild conjunctivochalasis with a normal cornea; nonobvious meibomian gland disease; pinguecula; and more.<sup>3</sup> NVS-OSD is not an indicator to postpone final refractive measurements and surgery. However, “if you mention OSD to the patient before surgery it is a pre-existing condition rather than a complication of the surgery. That’s the importance of diagnosing it and discussing it prior to surgery,” explains Starr.

## VS-OSD

VS-OSD needs attention before proceeding with surgery to avoid reduced visual quality and potential errors in preoperative measurements (topography, keratometry, refraction, aberrometry).<sup>3</sup> “Delay surgery if you cannot get it under control in the time frame you are working with,” said Starr.

Any OSD that results in corneal staining or abnormal osmolarity (e.g., DED, meibomian gland dysfunction, neurotrophic or exposure keratitis), irregular astigmatism (e.g., epithelial basement membrane dystrophy, pterygium, Salzmann nodules) and/or increases the risk for surgical infection (e.g., infectious conjunctivitis, staphylococcal blepharitis, etc.) is deemed VS-OSD. When VS-OSD is identified, aggressive multifaceted treatment should be initiated and surgery delayed if there is inadequate time to reverse it. The algorithm is repeated at each follow-up appointment until OSD is resolved or converted to NVS-OSD.

## CONCLUSION

OSD is commonly inadequately diagnosed and treated prior to refractive surgery even though it can have a significant impact on refractive outcomes and patient satisfaction. Therefore, all patients should be carefully assessed for OSD preoperatively. Osmolarity and MMP-9 tests have improved sensitivity and specificity in the diagnosis of DED. In combination, they have a high positive predictive value of OSD, which becomes valuable in identifying OSD in asymptomatic preoperative cataract surgery patients.

The combination of osmolarity and MMP-9 testing can provide a rapid diagnosis of OSD and its severity and, along with the other tests, contribute toward a more comprehensive and accurate surgical plan, ultimately leading to improved outcomes. ■

- Gupta PK, Drinkwater OJ, VanDusen KW, et al. Prevalence of ocular surface dysfunction in patients presenting for cataract surgery evaluation. *J Cataract Refract Surg.* 2018;44(9):1090-1096.
- Trattler WB, Majumdar PA, Donnenfeld ED, et al. The Prospective Health Assessment of Cataract Patients’ Ocular Surface (PHACO) study: the effect of dry eye. *Clin Ophthalmol.* 2017;11:1423-1430.
- Starr CE, Gupta PK, Farid M, et al. An algorithm for the preoperative diagnosis and treatment of ocular surface disorders. *J Cataract Refract Surg.* 2019;45(5):669-684.
- Dawson D, et al. Cornea and Sclera. *Duanes Ophthalmology.* New York.
- Woodward MA, Randleman JB, Stulting RD. Dissatisfaction after multifocal intraocular lens implantation. *J Cataract Refract Surg.* 2009;35:992-997.
- Epitropoulos AT, Matossian C, Berdy GJ, et al. Effect of tear osmolarity on repeatability of keratometry for cataract surgery planning. *J Cataract Refract Surg.* 2015;41:1672-1677.
- Akpek EK, Amescua G, Farik M, et al. Dry eye syndrome preferred practice pattern. *Ophthalmology.* 2019;126(1):286-334.
- Craig JP, Nichols KK, Akpek EK, et al. TFOS DEWS II definition and classification report. *Ocul Surf.* 2017;15(3):276-283.
- Huet E, Vallee B, Delbe J, et al. EMMPRIN modulates epithelial barrier function through a MMP-mediated occluding cleavage: implications in dry eye disease. *Am J Pathol.* 2011;179(3):1278-1286.
- Baudouin C, Aragona P, Van Setten G, et al. Diagnosing the severity of dry eye: a clear and practical algorithm. *Br J Ophthalmol.* 2014;98(9):1168-1176.
- Sullivan BD, Whitmer D, Nichols KK, et al. An objective approach to dry eye disease severity. *Invest Ophthalmol Vis Sci.* 2010;51(12):6125-6130.
- Foulks GN, Forstot SL, Donshik PC, et al. Clinical guidelines for management of dry eye associated with Sjögren disease. *Ocul Surf.* 2015;13(2):118-132.
- Lemp MA, Bron AJ, Baudouin C, et al. Tear osmolarity in the diagnosis and management of dry eye disease. *Am J Ophthalmol.* 2011;151(5):792-798.e1.
- Potvin R, Makari S, Rapuano CJ. Tear film osmolarity and dry eye disease: a review of the literature. *Clin Ophthalmol.* 2015;9:2039-2047.
- Brissette AR, Drinkwater OJ, Bohm KJ, et al. The utility of a normal tear osmolarity test in patients presenting with dry eye disease like symptoms: a prospective analysis. *Cont Lens Anterior Eye.* 2019;42(2):185-189.
- Aragona P, Aguennouz M, Rania L, et al. Matrix metalloproteinase 9 and transglutaminase 2 expression at the ocular surface in patients with different forms of dry eye disease. *Ophthalmology.* 2015;122(1):62-71.